



# TOWN OF COLONIE

## Building Department

Public Operations Center  
347 Old Niskayuna Road  
Latham, New York 12110

Peter G. Crummey  
Town Supervisor

Phone (518) 783-2706 Fax (518) 783-2772  
[www.colonie.org/departments/building](http://www.colonie.org/departments/building)

Wayne Spenziero  
Manager

To all Building and Zoning Permit applicants:

Section 125 of the General Municipal Law requires that any individual applying for a building permit show proof to the Building Department that they are following the mandatory coverage provisions of the Workers' Compensation Law before the building permit is issued.

The Town of Colonie requires proof of General Liability Insurance and Workers' Compensation Insurance before we can issue a building permit.

A Certificate of Insurance showing General Liability Insurance with the **Town of Colonie** as certificate holder.

New York State mandates that we have proof of Workers' Compensation Insurance coverage. All applicants who list themselves as the general contractor on the building permit must prove that they are following Section 57 of the Workers' Compensation Law by producing ONE of the following forms:

1. Submit form C-105.2 as proof of Worker's Comp insurance
2. Submit U-26.3 if you are covered by the State Insurance Fund
3. If you participate in Workers' Compensation self-insurance, submit form SI-12 or GSI-105.2
4. For entities with NO employees, a CE-200 is required to be submitted for each specific application.

The Town of Colonie must be listed as the certificate holder on the applicable Workers' Compensation Insurance coverage submitted.

### **ACORD FORMS ARE NOT ACCEPTABLE PROOF OF WORKERS' COMPENSATION COVERAGE**

For general questions regarding Workers' Compensation Insurance, visit [www.wcb.ny.gov](http://www.wcb.ny.gov)

# CE-200 Exemption for Workers Compensation AND/OR Disability Insurance

Licensee's exempt from such New York State insurance coverage, may find the appropriate (WC/DB Exemptions Form CE-200) on the New York State Workers' Compensation Board's web at [www.wcb.state.ny.us](http://www.wcb.state.ny.us).

**Instructions:**

- Go to [www.wcb.ny.gov](http://www.wcb.ny.gov)
- At the top left, there will be a search bar -- search "CE-200"
- Choose the second option "Request Certificate of Attestation of Exemption (CE-200) and follow the prompts.

Below is an Example of the CE-200 Exemption Form

The CE-200 must be approved by the New York State Workers' Compensation Board.

A. This exemption must be done during the current year.

B. Be sure the licensee signs bottom of the form or it is invalid.

**Certificate of Attestation of Exemption From New York State Workers' Compensation and/or Disability Benefits Insurance Coverage**

*This form cannot be used to waive the workers' compensation rights of public employees.*

The application for this Certificate of Attestation of Exemption (CE-200) is done on the New York State Workers' Compensation Board's website. No fee is required. The application may only be used for one business year. The business year is the calendar year.

Please provide this form to the governmental entity from which you are requesting a permit, license or contract. This Certificate will not be accepted by a government official one year after the date printed on this form.

<p>In the Application of (Legal Entity Name and Address):</p> <p>John J. Smith 2 Address Ln City, State, 99999</p>	<p>From: <b>Town of Colonie</b></p>
<p><b>Workers' Compensation Exemption Statement</b></p> <p>The governmental business is exempt from the requirement to obtain New York State specific WORKERS' COMPENSATION INSURANCE COVERAGE for the following reasons:</p> <p>The business is exempt by one of the following categories: Governmental Exemption, Certain Activities, Charitable Exemption, Non-Profit Exemption, Seasonal Exemption, Part-Time Exemption, Temporary Exemption (including family members) or Subcontractor.</p>	
<p><b>Disability Benefits Exemption Statement</b></p> <p>The above named business is exempt from the requirement to obtain NEW YORK STATE STATUTORY DISABILITY BENEFITS INSURANCE COVERAGE for the following reason:</p> <p>The business MUST be either: (1) owned by one individual; OR (2) a partnership (including LLC, LLP, MLP, SLP, or LP) under the laws of New York State and its partner/corporation; OR (3) a non-stock personal service corporation, with the individuals owning all of the stock and holding all of the corporate powers; OR (4) a non-personal service corporation and individual owner has an effective date of business of less than one year; OR (5) is a business with less than 10 employees. In addition, the business does not provide disability benefits coverage at this time since it has not employed at least one individual for at least 30 days in any calendar year in New York State. (Independent contractors are not considered to be employees under the Disability Benefits Law.)</p>	
<p>I, JOHN J. SMITH, as the Sole Representative with the above named legal entity, declare that this is my private will and the above named business does not have the authority, information and authority to make this Certificate of Attestation of Exemption. I hereby declare that the above named business is exempt from the requirement to obtain New York State specific workers' compensation insurance and/or disability benefits insurance coverage for the calendar year 2020. I hereby declare that the above named business is exempt from the requirement to obtain New York State specific workers' compensation insurance and/or disability benefits insurance coverage for the calendar year 2020. I hereby declare that the above named business is exempt from the requirement to obtain New York State specific workers' compensation insurance and/or disability benefits insurance coverage for the calendar year 2020. I hereby declare that the above named business is exempt from the requirement to obtain New York State specific workers' compensation insurance and/or disability benefits insurance coverage for the calendar year 2020.</p>	
<p><b>SIGN HERE</b> Signature:</p>	<p>Date:</p>
<p>Exemption Certification Number</p> <p>20 999999</p>	<p>Received December 1, 2020 NYS Workers' Compensation Board</p>



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES UNDERWRITTEN HEREIN. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

CONTRACTOR NAME: Contractor Name Contractor Street Address or P.O. Box Contractor City, State & Zip Code	CONTACT NAME	
	PHONE (A/C, No, Ext)	FAX (A/C, No)
	E-MAIL ADDRESS	
	INSURER(S) AFFORDING COVERAGE	
	INSURER A:	NAIC #
	INSURER B:	
INSURER C:		
INSURER D:		
INSURER E:		
INSURER F:		

PERMITS: CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, CONDITIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

TYPE OF INSURANCE	AGGREGATE LIMIT APPLIES PER	POLICY NUMBER	POLICY EFF. DATE (MM/DD/YYYY)	POLICY EXP. DATE (MM/DD/YYYY)	LIMITS
COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR OTHER:	<input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC				EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$
AUTOMOBILE LIABILITY ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS					COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
UMBRELLA LIAB <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE					EACH OCCURRENCE \$ AGGREGATE \$
WORKERS COMPENSATION <input type="checkbox"/> EMPLOYERS' LIABILITY <input type="checkbox"/> PROPRIETOR/PARTNER/EXECUTIVE <input type="checkbox"/> MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	<input type="checkbox"/> Y/N <input type="checkbox"/> N/A				PER STATUTE <input type="checkbox"/> OTHER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

CERTIFICATE HOLDER  Town of Colonie 347 Old Niskayuna Rd Latham, NY 12110	CANCELLATION  SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE
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CERTIFICATE OF NYS WORKERS' COMPENSATION INSURANCE COVERAGE

<p>1a. Legal Name &amp; Address of Insured (use street address only)</p> <p><b>Contractor Name</b>  <b>Contractor Street Address or P.O. Box</b>  <b>Contractor City, State &amp; Zip Code</b></p> <p><i>Work Location of Insured (Only required if coverage is specifically limited to certain locations in New York State, i.e., a Wrap-Up Policy)</i></p>	<p>1b. Business Telephone Number of Insured</p> <p>1c. NYS Unemployment Insurance Employer Registration Number of Insured</p> <p>1d. Federal Employer Identification Number of Insured or Social Security Number</p>
<p>2. Name and Address of Entity Requesting Proof of Coverage (Entity Being Listed as the Certificate Holder)</p> <p><b>Town of Colonie</b>  <b>347 Old Niskayuna Rd</b>  <b>Latham, NY 12110</b></p>	<p>3a. Name of Insurance Carrier</p> <p>3b. Policy Number of Entity Listed in Box "1a"</p> <p>3c. Policy effective period</p> <p>3d. The Proprietor, Partners or Executive Officers are  <input type="checkbox"/> included. (Only check box if all partners/officers included)  <input type="checkbox"/> all excluded or certain partners/officers excluded.</p>

This certifies that the insurance carrier indicated above in box "3" insures the business referenced above in box "1a" for workers' compensation under the New York State Workers' Compensation Law. (To use this form, New York (NY) must be listed under Item 3A on the INFORMATION PAGE of the workers' compensation insurance policy). The Insurance Carrier or its licensed agent will send this Certificate of Insurance to the entity listed above as the certificate holder in box "2".

Will the carrier notify the certificate holder within 10 days of a policy being cancelled for non-payment of premium or within 30 days if cancelled for any other reason or if the insured is otherwise eliminated from the coverage indicated on this certificate prior to the end of the policy effective period?  YES  NO

This certificate is issued as a matter of information only and confers no rights upon the certificate holder. This certificate does not amend, extend or alter the coverage afforded by the policy listed, nor does it confer any rights or responsibilities beyond those contained in the referenced policy.

This certificate may be used as evidence of a Workers' Compensation contract of insurance only while the underlying policy is in effect.

Please Note: Upon cancellation of the workers' compensation policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of Workers' Compensation Coverage or other authorized proof that the business is complying with the mandatory coverage requirements of the New York State Workers' Compensation Law.

Under penalty of perjury, I certify that I am an authorized representative or licensed agent of the insurance carrier referenced above and that the named insured has the coverage as depicted on this form.

Approved by: \_\_\_\_\_  
(Print name of authorized representative or licensed agent of insurance carrier)

Approved by: \_\_\_\_\_  
(Signature) (Date)

Title: \_\_\_\_\_

Telephone Number of authorized representative or licensed agent of insurance carrier: \_\_\_\_\_

Please Note: Only insurance carriers and their licensed agents are authorized to issue Form C-105.2. Insurance brokers are NOT authorized to issue it.



# New York State Insurance Fund

Workers' Compensation & Disability Benefits Specialists Since 1914

105 CORPORATE PARK DRIVE SUITE 200, WHITE PLAINS, NEW YORK 10604-3814

## CERTIFICATE OF WORKERS' COMPENSATION INSURANCE (RENEWED)

133635141

Insurance Agency Inc  
1 Address Ln  
City, State 99999

QR Code

Scan to Validate

<b>POLICYHOLDER</b> Contractor LLC 2 Address Ln City, State 99999	<b>CERTIFICATE HOLDER</b> Town Of Colonie 347 Old Niskayuna Rd Latham, NY 12110
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<b>POLICY NUMBER</b> W-000A-00	<b>CERTIFICATE NUMBER</b> 772543	<b>POLICY PERIOD</b> 10/22/2016 TO 10/22/2017	<b>DATE</b> 10/18/2016
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THIS IS TO CERTIFY THAT THE POLICYHOLDER NAMED ABOVE IS INSURED WITH THE NEW YORK STATE INSURANCE FUND UNDER POLICY NO. 1339-410-1, COVERING THE ENTIRE OBLIGATION OF THIS POLICYHOLDER FOR WORKERS' COMPENSATION UNDER THE NEW YORK WORKERS' COMPENSATION LAW WITH RESPECT TO ALL OPERATIONS IN THE STATE OF NEW YORK, EXCEPT AS INDICATED BELOW, AND, WITH RESPECT TO OPERATIONS OUTSIDE OF NEW YORK, TO THE POLICYHOLDER'S REGULAR NEW YORK STATE EMPLOYEES ONLY.

IF YOU WISH TO RECEIVE NOTIFICATIONS REGARDING SAID POLICY, INCLUDING ANY NOTIFICATION OF CANCELLATIONS, OR TO VALIDATE THIS CERTIFICATE, VISIT OUR WEBSITE AT: [HTTPS://WWW.NYSIF.COM/CERT/CERTVAL.ASP](https://www.nysif.com/cert/certval.asp). THE NEW YORK STATE INSURANCE FUND IS NOT LIABLE IN THE EVENT OF FAILURE TO GIVE SUCH NOTIFICATIONS.

THIS POLICY DOES NOT COVER CLAIMS OR SUITS THAT ARISE FROM BODILY INJURY SUFFERED BY THE OFFICERS OF THE INSURED CORPORATION.

Name , PRESIDENT  
Establishment LLC  
Address Ln  
City, State, 99999

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS NOR INSURANCE COVERAGE UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICY.

NEW YORK STATE INSURANCE FUND

Signature

DIRECTOR, INSURANCE FUND UNDERWRITING

VALIDATION NUMBER: